**UNITED NATIONS EDUCATIONAL, SCIENTIFIC
AND CULTURAL ORGANIZATION**

**CONVENTION CONCERNING THE PROTECTION OF
THE WORLD CULTURAL AND NATURAL HERITAGE**

**World Heritage Committee
Thirty first Session
Christchurch, New Zealand
23 June – 2 July 2007**

<u>**Item 18 of the Provisional Agenda**</u>**: International Assistance**

18B. Reform of International Assistance

SUMMARY

I. Background on the reform of International Assistance

II. Proposed format for International Assistance requests, accompanied by written guidelines for completing the application form (Annexes I and II)

III. New database on International Assistance

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V. Other issues for consideration by the World Heritage Committee regarding International Assistance

VI. Changes to the *Operational Guidelines*

*<u>Draft Decision</u>: **31 COM 18B**,* see Point VII

I. Background on the reform of International Assistance

1. The 27th session of the World Heritage Committee (UNESCO, 2003) allocated funding for the evaluation of International Assistance and accordingly the Evaluation of Emergency Assistance was completed in 2004 and its results presented at the 28th session of the Committee (Suzhou, 2004) (Decision **28 COM 10B**). The Evaluation of other types of International Assistance was also completed and presented to the Committee at its 29th session (Durban, 2005) (Decision **29 COM 14B**).

2. At its 30th session (Vilnius, 2006), the Committee examined the recommendations of this evaluation, as well as an in-depth evaluation of training assistance and decided (Decision **30 COM 14A**), to reform the system of International Assistance. This included reducing the types of International Assistance from five to three (Emergency; Preparatory; Conservation and Management), for International Assistance requests falling under items (vii) and (viii) of the Conservation & Management category in paragraph 241 of the *Operational Guidelines,* developing a new application form and establishing a new database, and making corresponding modifications to the *Operational Guidelines*.

II. Proposed format for International Assistance requests, accompanied by written guidelines for completing the application form (Annexes I and II)

3. The new application form (Annex I) is designed to make the International Assistance system more efficient and results oriented. On the one hand, it elicits all relevant information from the States Parties, but on the other it avoids asking information already available to the World Heritage Centre and the Advisory Bodies through the new International Assistance database (for instance, previous contributions made to the State Party, status of dues to World Heritage Fund etc). As requested in Decision **30 COM 14A**, the format is accompanied by written guidelines for completing the form (Annex II).

4. The application form focuses on the key objectives of the project for which International Assistance is being sought and on how to design activities to achieve those objectives. It includes a specific section to be filled in for evaluation and reporting (section 12). The detailed explanatory notes provide guidance for completing the application form by giving concrete examples on how to define and link objectives to expected results and how to use indicators and means of verification to evaluate and measure the results achieved and the progress towards the objectives.

5. The proposed new format takes also into account paragraph 8 of the Decision **30 COM 7.2** on the strategy for reducing risk from disasters, with a specific section for Emergency Assistance (section 8), instead of a separate application form for this type of International Assistance.

6.	The application form can be filled in on-line through the UNESCO World Heritage Centre website (http://whc.unesco.org), but hard copies will continue to be accepted. The Advisory Bodies will also be able to evaluate the proposals on-line, thus, greatly enhancing efficiency.

## III.	New database on International Assistance

7.	The new International Assistance database organizes the information according to how a request is processed. It takes into account the data available within the new application form and the existing working tools used at the World Heritage Centre, making the links to the status of contributions to the World Heritage Fund, Tentative Lists, World Heritage List, List of World Heritage In Danger, State of Conservation reports, World Heritage Committee Decisions and working documents, and UNESCO's financial management system (FABS). The information is compiled with a view to tracking project implementation and compiles statistics/reports on types of International Assistance, properties, countries, regions, amounts approved, amounts implemented etc. A keyword searching tool is also available.

8.	The database is available and accessible through the UNESCO World Heritage Centre website (http://whc.unesco.org). There are different levels of accessibility, restricting the information access and changes that can be made, in order to preserve data integrity and avoid duplication. The database will be accessed through a user account by the States Parties (to fill-in the application form on-line), the World Heritage Centre staff, the Advisory Bodies, the UNESCO Regional Offices, the World Heritage Committee and Chairperson. Access can also be given to the general public for a restricted type of information.

## IV.	Selection criteria for reviewing the International Assistance requests by the Advisory Bodies (Annex III)

9.	In its Decision **30 COM 14A**, the World Heritage Committee requested that the World Heritage Centre and the Advisory Bodies develop selection criteria to use in the evaluation of the International Assistance requests, and which shall form the Annex 9 of the *Operational Guidelines.* These criteria have been developed and are presented in Annex III of the present Document.

10.	As indicated, these do not constitute a checklist, and not every item will be applicable to every International Assistance request. Rather, the appropriate items are to be considered together in an integrated manner in making balanced judgments concerning the appropriateness of allocating the limited financial support available through the World Heritage Fund.

V. Other issues for consideration by the World Heritage Committee regarding International Assistance

"Preference" to be given to the Least Developed Countries (LDCs)

11. Decision **30 COM 14A** mentions in its paragraph 5 a) that: *"Preference will be given to developing countries as defined by the United Nations Economic and Social Council's Committee for Development Policy in the grant of international assistance, as already provided for in paragraph 239(b) of the* Operational Guidelines'".

12. Paragraph 239 (b) of the *Operational Guidelines* states that "In addition to the priorities outlined in paragraphs 236-238 above, the following considerations govern the Committee's decisions in granting International Assistance: (b) whether the International Assistance request is from a State Party which is a Least Developed Country or Low Income Economy as defined by the United Nations Department of Economic and Social Affairs, Committee for Development Policy".

13. The current list of "Least Developed Countries" (LDCs) and "Low Income Economies" (LIEs) from the United Nations Department of Economic and Social Affairs (UNECOSOC), Committee for Development Policy is a very restrictive one with only 68 countries. Therefore, the World Heritage Centre has added to this list information from the World Bank on "Lower Middle Income Countries" (LMICs – 46 countries) and the "Upper Middle Income Countries" (UMICs – 36 countries), many of which have received International Assistance in the past (Argentina, Gabon, Chile). Some of them may be now post-conflict countries (Lebanon); others are Small Island Developing States (SIDS) (Saint-Lucia, Seychelles) and merit preference for grant of international assistance. The consolidated list of all these countries by categories is attached as Annex IV of the present document.

14. The evaluation panel needs clear guidance from the Committee on how to apply this 'preference' for various countries in view of the issues mentioned above. Any country which has paid its dues to the World Heritage Fund is eligible for International Assistance. Therefore, the Committee may wish to consider that this 'preference' be applied in the first instance to countries in the list of LDCs, LIEs and LMICs (a total of 114 countries) and that if funds remain, they may be used to provide assistance to UMICs (36 countries). However, SIDS and countries in post-conflict situations could be given a 'preference', irrespective of their category (lower middle income, upper middle income). High Income Countries (HICs – 41 according to the World Bank classification) may be eligible for International Assistance only when they apply <u>exclusively</u> to finance the participation of site managers/staff from preferential countries in capacity building activities being organised by them.

Earmarking

15. Decision **30 COM 14A** paragraph 5.c states that there will be no more earmarking of funds against the different types of international assistance, except for Emergency assistance. However, the Committee did not specify in its Decision **30 COM 14A** when the earmarking should stop. Considering that the World Heritage

Fund budget for 2006-2007 was approved with earmarking, the Committee may wish to decide to introduce this paragraph of the Decision with effect from January 2008 with the start of the 2008-2009 budget.

Balance Nature/Culture

16.   No earmarking also raises the issue of maintaining a fair balance between natural and cultural heritage. Paragraph 240 of the *Operational Guidelines* states that "*A balance will be maintained in the allocation of resources to activities for cultural and natural heritage. This balance is reviewed and decided upon on a regular basis by the Committee*". The current balance dates back to 1995 (19th session of the Committee, Berlin).

17.   Moreover, the new category of International Assistance "Conservation and Management" merges three former categories (Technical Cooperation, Training & Research and Promotional & Educational Assistance) with different proportions between nature and culture: 1/3 nature – 2/3 culture under Technical Cooperation, 1/2 and 1/2 under Training & Research; and Promotional & Educational Assistance having no such distinction between culture and nature.

18.   In order to resolve this issue, the Committee may wish to consider that within the total amount foreseen for International Assistance in the World Heritage Fund budget (excluding Emergency Assistance), 40% be dedicated to natural and 60% to cultural heritage.

Ceilings in Conservation & Management category

19.   The new category of assistance "Conservation and Management" merges three categories with different ceilings: no ceiling for Technical Cooperation and Training & Research, USD10,000 maximum for Promotional & Educational Assistance.

20.   It is therefore suggested that the Committee may wish to consider to maintain a ceiling of USD10,000 for International Assistance requests falling under items (vii) and (viii) of the Conservation & Management category in the proposed paragraph 241 of the *Operational Guidelines* (See Paragraph 24 below).

Procedure for requests of USD 5,000 or less

21.   It is suggested that for processing requests of less than USD 5,000, the Advisory Bodies' comments may not be required and no examination by the evaluation panel is needed before approval by the Director of the World Heritage Centre, within the limits set out in Paragraph 241 of the *Operational Guidelines.*

22.   The same rule may apply for requests for Emergency Assistance (up to USD 75,000) for the Chairperson's approval (provided that they really fall under this category).

VI.   Changes to the *Operational Guidelines*

23.   As requested by the Committee in its Decision **30 COM 14A**, necessary modifications have been made in the relevant paragraphs of the *Operational Guidelines* (paragraphs 233-257) relating to International Assistance and are presented below.

24.   Annex 8 of the *Operational Guidelines* will be replaced once the proposed application form attached as Annex I of the present document and its written guidelines (Annex II) have been adopted by the Committee.

25.   The modifications to the *Operation Guidelines* are as follow:

VII.C International Assistance

233.	The *Convention* provides International Assistance to States Parties for the protection of the world cultural and natural heritage located on their territories and inscribed, or potentially suitable for inscription on the World Heritage List. International Assistance should be seen as supplementary to national efforts for the conservation and management of World Heritage and Tentative List properties when adequate resources cannot be secured at the national level.	See Articles 13 (1&2) and 19-26 of the *World Heritage Convention*
234.	International Assistance is primarily financed from the World Heritage Fund, established under the *World Heritage Convention*. The Committee determines the budget for International Assistance on a biennial basis.	Section IV of the *World Heritage Convention*
235.	The World Heritage Committee co-ordinates and allocates types of International Assistance in response to State Party requests. These types of International Assistance, described in the summary table set out below, in order of priority are:	Decision 30 COM 14A

    a)   Emergency assistance

    b)   Preparatory assistance

    c)   Conservation and Management assistance (incorporating assistance for training and research, technical co-operation and promotion and education).

VII.D Principles and priorities for International Assistance

236. Priority is given to International Assistance for properties inscribed on the List of World Heritage in Danger. The Committee created a specific budget line to ensure that a significant portion of assistance from the World Heritage Fund is allocated to properties inscribed on the List of World Heritage in Danger.

Article 13(1) of the World Heritage Convention

237. States Parties in arrears of payment of their compulsory or voluntary contributions to the World Heritage Fund are not eligible for international assistance, it being understood that this provision does not apply to requests for emergency assistance.

Decision 13 COM XII.34

238. To support its Strategic Objectives, the Committee also allocates International Assistance in conformity with the priorities set out by Regional Programmes. These Programmes are adopted as follow up to Periodic Reports and regularly reviewed by the Committee based on the needs of States Parties identified in Periodic Reports (see chapter V).

Decisions 26 COM 17.2, 26 COM 20 and 26 COM 25.3

239. In addition to the priorities outlined in paragraphs 236-238 above, the following considerations govern the Committee's decisions in granting International Assistance:

a) the likelihood that the assistance will have a catalytic and multiplier effect ("seed money") and promote financial and technical contributions from other sources;

b) whether the International Assistance request is from a State Party which is :
- a Least Developed Country or Low Income Economy as defined by the United Nations Economic and Social Council's Committee for Development Policy,
- a Lower Middle Income Country as defined by the World Bank,
- a Small Island Developing State (SIDS), or
- a State Party in a post-conflict situation;

Decision 31 COM 18B

c) the urgency of the protective measures to be taken at World Heritage properties;

d) whether the legislative, administrative and, wherever possible, financial commitment of the recipient State Party is available to the activity;

e) the impact of the activity on furthering the Strategic Objectives decided by the Committee;

Paragraph 26

f) the degree to which the activity responds to needs identified through the reactive monitoring process and/or the analysis of regional Periodic Reports;

Decision 20 COM XII

g) the exemplary value of the activity in respect to scientific research and the development of cost effective conservation techniques;

h) the cost of the activity and expected results; and

i) the educational value both for the training of experts and for the general public.

240. A balance will be maintained in the allocation of resources to activities for cultural and natural heritage. This balance is reviewed and decided upon on a regular basis by the Committee.

60% of the total International Assistance budget is set aside for cultural properties and 40% for natural properties
Decision 31 COM 18B

VII.E Summary Table

241.

Type of international assistance	Purpose	Budget ceilings	Deadline for submission of request	Authority for approval
Emergency Assistance	This assistance may be requested to address ascertained or potential threats facing properties included on the List of World Heritage in Danger and the World Heritage List which have suffered severe damage or are in imminent danger of severe damage due to sudden, unexpected phenomena. Such phenomena may include land subsidence, extensive fires, explosions, flooding or man-made disasters including war. This assistance does not concern cases of damage or deterioration caused by gradual processes of decay, pollution or erosion. It addresses emergency situations strictly relating to the conservation of a World Heritage property (see Decision 28 COM 10B 2.c). It may be made available, if necessary, to more than one World Heritage property in a single State Party (see Decision 6 EXT. COM 15.2). The budget ceilings relate to a single World Heritage property. The assistance may be requested to : (i) undertake emergency measures for the safeguarding of the property; (ii) draw up an emergency plan for the property.	Up to USD 5.000 Between USD 5.001 and 75.000 Over USD 75.000	At any time At any time 1 February	Director of the World Heritage Centre Chairperson of the Committee Committee

Type of international assistance	Purpose	Budget ceilings	Deadline for submission of request	Authority for approval
Preparatory assistance	This assistance may be requested to: (i) prepare or update national Tentative Lists of properties suitable for inscription on the World Heritage List; (ii) organize meetings for the harmonization of national Tentative Lists within the same geo-cultural area; (iii) prepare nominations of properties for inscription on the World Heritage List (this may include the preparation of a comparative analysis of the property in relation to other similar properties (see 3.c of Annex 5); (iv) prepare requests for training and research assistance and for technical co-operation for World Heritage properties. Requests by States Parties whose heritage in un-represented or under-represented in the World Heritage List will be given priority for preparatory assistance.	Up to USD 5.000 Between USD 5.001 and 30.000	At any time At any time	Director of the World Heritage Centre Chairperson of the Committee
Conservation and Management Assistance (incorporating Training and Research assistance, Technical co-operation assistance and Promotion and education assistance)	This assistance may be requested for: (i) the training of staff and specialists at all levels in the fields of identification, monitoring, conservation, management and presentation of World Heritage, with an emphasis on group training; (ii) scientific research benefiting World Heritage properties; (iii) studies on the scientific and technical problems of conservation, management, and presentation of World Heritage properties. Note: Requests for support for individual training courses from UNESCO should be submitted on the standard "Application for fellowship" form available from the Secretariat. (iv) provision of experts, technicians and skilled labour for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List; (v) supply of equipment which the State Party requires for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List; (vi) low-interest or interest-free loans for undertaking activities for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List, which may be repayable on a long-term basis.	Only for requests falling under items (i) to (vi): Up to USD 5.000 Between USD 5.001 and 30.000 Over USD 30.000	Only for requests falling under items (i) to (vi): At any time At any time 1 February	Only for requests falling under items (i) to (vi): Director of the World Heritage Centre Chairperson of the Committee Committee

Type of international assistance	Purpose	Budget ceilings	Deadline for submission of request	Authority for approval
	(vii) At the regional and international levels for Programmes, activities and the holding of meetings that could: - help to create interest in the *Convention* within the countries of a given region; - create a greater awareness of the different issues related to the implementation of the *Convention* to promote more active involvement in its application; - be a means of exchanging experiences; - stimulate joint education, information and promotional programmes and activities, especially when they involve the participation of young people for the benefit of World Heritage conservation. (viii) At the national level for: - meetings specifically organized to make the *Convention* better known, especially amongst young people, or for the creation of national World Heritage associations, in accordance with Article 17 of the *Convention*; - preparation and discussion of education and information material (such as brochures, publications, exhibitions, films, multimedia tools) for the general promotion of the *Convention* and the World Heritage List and not for the promotion of a particular property, and especially for young people.	Only for requests falling under items (vii) and (viii): Up to USD 5,000 Between USD 5,001 and 10,000	Only for requests falling under items (vii) and (viii): At any time At any time	Only for requests falling under items (vii) and (viii): Director of the World Heritage Centre Chairperson of the Committee

VII.F Procedure and format

242. States Parties submitting requests for international assistance are encouraged to consult the Secretariat and the Advisory Bodies during the conceptualization, planning and elaboration of each request. To facilitate States Parties' work, examples of successful international assistance requests may be provided upon request.

243. The application form for International Assistance is presented in Annex 8 and the types, amounts, deadlines for submission and the authorities responsible for approval are outlined in the summary table in Chapter VII.E.

244. The request should be submitted in English or French, duly signed and transmitted by the National Commission for UNESCO, the Permanent Delegation to UNESCO and/or appropriate governmental Department or Ministry to the following address :

UNESCO World Heritage Centre
7, place de Fontenoy
75352 Paris 07 SP
France
Tel: +33 (0) 1 45 68 12 76
Fax: +33 (0) 1 45 68 55 70
E-mail: wh-intassistance@unesco.org

245. Requests for international assistance may be submitted by electronic mail by the State Party but must be accompanied by an officially signed hard copy, or be filled-in using the online format on the World Heritage Centre's Website at the following address: http://whc.unesco.org

246. It is important that all information requested in this application form is provided. If appropriate or necessary, requests may be supplemented by additional information, reports, etc.

VII.G Evaluation and approval of International Assistance requests

247. Provided that a request for assistance from a State Party is complete, the Secretariat, with the assistance of the Advisory Bodies for requests above USD 5.000, will process each request in a timely manner, as follows.

248. All requests for International Assistance for cultural heritage are evaluated by ICOMOS and ICCROM, except requests for less than USD 5.000.

Decision 13 COM XII.34
Decision 31 COM 18B

249. All requests for International Assistance for mixed heritage are evaluated by ICOMOS, ICCROM and IUCN, except requests for less than USD 5.000.

Decision 31 COM 18B

250. All requests for International Assistance for natural heritage are evaluated by IUCN, except requests for less than USD 5.000.

Decision 31 COM 18B

251. The evaluation criteria used by the Advisory Bodies are outlined in Annex 9.

Decision 31 COM 18B

252. All requests for International Assistance of more than USD 5,000 are evaluated by a panel composed of the

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Chairperson of the World Heritage Committee, or one vice-chairperson, representatives of the World Heritage Centre Regional Desks and the Advisory Bodies, meeting at least twice a year before action by the Chairperson and Committee. Requests for the approval of the Chairperson can be submitted at anytime to the Secretariat and approved by the Chairperson after appropriate evaluation.

253. The Chairperson is not authorized to approve requests submitted by his own country. These will be examined by the Committee.

254. All requests for the approval of the Committee should be received by the Secretariat on or before **1 February**. These requests are submitted to the Committee at its next session.

VII.H Contractual Arrangements

255. Agreements are established between UNESCO and the concerned State Party or its representative(s) for the implementation of the approved International Assistance requests in conformity with UNESCO regulations, following the work plan and budget breakdown described in the originally approved request.

VII.I Evaluation and follow-up of International Assistance

256. The monitoring and evaluation of the implementation of the International Assistance requests will take place within 3 months after completion of the activities. The results of these evaluations will be collated and maintained by the Secretariat in collaboration with the Advisory Bodies and examined by the Committee on a regular basis.

257. The Committee reviews the implementation, evaluation and follow-up of International Assistance in order to evaluate its effectiveness and to redefine its priorities.

VII. Draft Decision

Draft Decision: 31 COM 18B

The World Heritage Committee,

1. *Having examined Document* WHC-07/31.COM/18B*,*

2. *Recalling Decision **30 COM 14A***, adopted at its 30th session (Vilnius, 2006),*

3. *Taking into account the recommendations contained in Document* WHC-06/30.COM/14A,*

4. *Considers that the preference for Least Developed Countries (LDCs), Low Income Economies (LIEs), Small Island Developing States (SIDS) and post-conflict countries apply when the funds available are short and a selection has to be made;*

5. *Decides :*

    a) *to adopt the new application form and its written guidelines presented in the above-mentioned Document, as Annexes I and II (as amended);*

    b) *to adopt the selection criteria to be used in the evaluation of International Assistance requests by the Advisory Bodies presented in the above-mentioned Document as Annex III (as amended);*

    c) *to dedicate 40% of the envelop foreseen for International Assistance in the World Heritage Fund budget (excluding Emergency Assistance) to natural heritage and 60% to cultural heritage;*

    d) *to maintain a ceiling of USD 10,000 for International Assistance requests falling under items (vii) and (viii) of the Conservation & Management category in paragraph 241 of the* Operational Guidelines *;*

6. *Also decides that requests of less than USD 5,000 will be submitted for approval by the Director of the World Heritage Centre, within the limits set out in Paragraph 241 of the* Operational Guidelines*, without examination by the panel;*

7. *Further decides that requests for Emergency Assistance of up to USD 75,000 will be submitted for approval by the Chairperson of the World Heritage Committee after comments by the Advisory Bodies and without examination by the panel, provided they really fall under this category;*

8. *Approves the modifications in the* Operational Guidelines*, paragraphs 233 to 257, proposed in the above-mentioned Document (as amended).*

INTERNATIONAL ASSISTANCE

APPLICATION FORM

- The International Assistance request form is available at the following Web address: whc.unesco.org/en/intassistance, and can be filled at the same address.

- Further guidance on International Assistance can be found in Section VII of the *Operational Guidelines*

- See attached Explanatory Notes on completing this request form

- The original signed version of the completed International Assistance request form should be sent in English or French to:

UNESCO World Heritage Centre
7, place de Fontenoy
75352 Paris 07 SP
France
Telephone: +33 (0)1 45 68 12 76
Fax: +33 (0)1 45 68 55 70
E-mail: wh-intassistance@unesco.org

1. STATE PARTY _____

2. TITLE OF PROJECT

_____

_____

_____

_____

3. TYPE OF ASSISTANCE

	Emergency Assistance	*Preparatory Assistance*	*Conservation and management*
Culture			
Nature			
Mixed			

4. PROJECT LOCATION:

a) Will the project be implemented at a World Heritage property?
□ - yes   □ - no

If yes, give the name of the property _____

_____

b) Will the project include a field component?
□ - yes   □ - no

If yes, where and how? _____

_____

c) If the project is being implemented at a World Heritage property, indicate whether it will also benefit other World Heritage properties, and if so, which ones and how?

_____

_____

5. **TIMEFRAME FOR THE IMPLEMENTATION OF THE PROJECT (indicate whether estimated or fixed)**

Dates:_____

Duration: _____

6. **THE PROJECT IS:**

□ - local
□ - national
□ - sub-regional involving a few States Parties from a region
□ - regional involving most States Parties from a region
□ - international involving States Parties from different regions

If the project is national, sub-regional, regional or international, please indicate the countries/properties which will participate/benefit from the project:

_____

_____

_____

_____

7. **JUSTIFICATION OF THE PROJECT**

a) Explain why this project is needed
   (for Emergency Assistance, please fill in item 8 below <u>instead</u>).

_____

_____

_____

_____

b) List all supporting documents submitted, if applicable.

_____

_____

8. FOR EMERGENCY ASSISTANCE ONLY

a) Describe the actual or potential threat/danger affecting the property

_____

_____

b) Indicate how it might affect the property's Outstanding Universal Value

_____

_____

c) Explain how the proposed project will address the threat/danger

_____

_____

9. OBJECTIVES OF THE PROJECT

Clearly set out the specific objectives of the project

10. EXPECTED RESULTS

a) Clearly state the results expected from the project

_____

_____

b) Define the indicators and means of verification which can be used to assess the achievements of these results:

Expected Results	Indicators	Means of verification

11. **WORK PLAN (including specific activities and timetable)**

Activities	Timeframe (in months)						
Activity							
Activity							
Activity							
Activity							

12. **EVALUATION AND REPORTING (to be submitted to the World Heritage Centre <u>within three months</u> after the project is completed)**

13. **PROFILES OF SPECIALISTS, TRAINERS, TECHNICIANS AND/OR SKILLED LABOUR, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE (if the identity of the specialists, trainers, technicians, and/or skilled labourers is already known, please state their names and include a brief CV if possible)**

_____

_____

14. **KEY TARGET AUDIENCES, INCLUDING PROFILES OF TRAINEES / PARTICIPANTS, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE**

_____

_____

15. **BUDGET BREAKDOWN**

a) Provide, in the following table (in United States dollars), a detailed breakdown of costs of the individual elements of the project including, if possible, unit costs and show how these will be shared between the different funding sources.

Items (choose items as applicable to the project)	Detail USD (for applicable items)	State Party Funds USD	Amount requested to the World Heritage Fund USD	Other sources USD	Total USD
Organization • venue • office expenses • secretarial assistance • translation • simultaneous interpretation • audio-visual equipment • other	USD __ / day for __ days = USD __ USD __ USD __ / day for __ days - USD __ USD __ / page for __ pages = USD __ USD __ / hour for __ hours = USD __ USD __ / day for __ days = USD __ USD __				
Personnel / consultancy service (fees) • international expert • national expert • coordinator • other	USD __ / week for __ weeks = USD __ USD __ / week for __ weeks = USD __ USD __ / week for __ weeks = USD __ USD __ / week for __ weeks = USD __				
Travel • international travel cost • domestic travel costs • other	USD __ USD __ USD __				
Daily subsistence allowance • accommodation • board	USD __ / day for __ persons = USD __ USD __ / day for __ persons = USD __				
Equipment • ……. • …….	USD __ / unit for __ units = USD __ / unit for __ units =				
Evaluation, Reporting and Publication • evaluation • reporting • editing, layout • printing • distribution • other	USD __ USD __ USD __ USD __ USD __ USD __				
Miscellaneous • visas • other	USD __ for __ participants = USD __ USD __				
TOTAL					

b)   Specify whether or not resources from the State Party or other sources are already available or when they are likely to become available.

_____

_____

16.   <u>IN KIND</u> CONTRIBUTIONS FROM THE STATE PARTY AND OTHER AGENCIES

a)   National agency(ies)

_____

_____

b)   Other bi/multi-lateral organizations, donors, etc

_____

_____

17.   AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE PROJECT

_____

_____

18.   SIGNATURE ON BEHALF OF STATE PARTY

Full name   _____

Title   _____

Date   _____

19.   ANNEXES

_____   (number of annexes attached to the request)

INTERNATIONAL ASSISTANCE REQUEST

WRITTEN GUIDELINES

- The International Assistance request form is available at the following Web address: whc.unesco.org/en/intassistance, and can be filled at the same address.

- Further guidance on International Assistance can be found in Section VII of the *Operational Guidelines.*

- The original signed version of the completed International Assistance request form should be sent in English or French to:

  UNESCO World Heritage Centre
  7, place de Fontenoy
  75352 Paris 07 SP
  France
  Telephone: +33 (0)1 45 68 12 76
  Fax: +33 (0)1 45 68 55 70
  E-mail: wh-intassistance@unesco.org

INTERNATIONAL ASSISTANCE APPLICATION FORM	WRITTEN GUIDELINES
1. **STATE PARTY**	Name of the State Party presenting the International Assistance request
2. **TITLE OF THE PROJECT**	

3.   **TYPE OF ASSISTANCE**

	Emergency Assistance	Preparatory Assistance	Conservation and Management*
Culture			
Nature			
Mixed			

* Please note that « Conservation and Management » now includes the previous categories :
- Training, Research Assistance
- Technical Cooperation
- Assistance for education, information and awareness raising

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See Paragraph 241 of the *Operational Guidelines* for details.

Indicate the type of assistance you are requesting, as well as the type of heritage covered by the project.

Please, tick only one box in the table.
For example:

- Training project on rock paintings:

	Emergency Assistance	Preparatory Assistance	Conservation and Management
Culture			**X**
Nature			
Mixed			

- Preparation of a nomination file for a mixed property:

	Emergency Assistance	Preparatory Assistance	Conservation and Management
Culture			
Nature			
Mixed		**X**	

- Emergency assistance request following a tropical storm which affected a protected forest area:

	Emergency Assistance	Preparatory Assistance	Conservation and Management
Culture			
Nature	**X**		
Mixed			

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4.   **PROJECT LOCATION**

a)   Will the project be implemented at a World Heritage property?

    □ - yes   □ - no

If yes, give the name of the property

b)   Will the project include a field component?

    □ - yes   □ - no

If yes, where and how?

	c)	If the project is being implemented at a World Heritage property, indicate whether it will also benefit other World Heritage properties, and if so which ones and how?	
5.	**TIMEFRAME FOR THE IMPLEMENTATION OF THE PROJECT (indicate whether estimated or fixed)**		Indicate the proposed starting date for the project as well as its duration.
6.	**THE PROJECT IS:** □ local □ national □ sub-regional involving a few States Parties from a region □ regional involving most States Parties from a region □ international involving States Parties from different regions If the project is national, sub-regional, regional or international, please indicate the countries/properties which will participate/benefit from the project.		If other countries benefit from the project, please state whether their support for the project has been obtained. Also note if a transboundary property is involved.
7.	**JUSTIFICATION OF THE PROJECT**		
	a)	Explain why the project is needed (for Emergency Assistance, please fill in item 8 below <u>instead</u>)	Set out the problems or issues to be discussed/addressed. This should include, where appropriate, the degree of urgency of the activities to be undertaken where appropriate. If relevant, give details, in no more than 2 pages, of ascertained or potential threat to the property(ies). Explain how the project contributes to the implementation of: - decisions of the World Heritage Committee; - recommendations of international expert missions undertaken at the request of the Committee, Chairperson or UNESCO; - recommendations of the Advisory Bodies; - recommendations of UNESCO World Heritage Centre or other UNESCO Divisions; - management plans for the property; - recommendations from previous activities supported by the World Heritage Fund. Clearly indicate which documents you are referring to (World Heritage Committee's decision number, Mission dates, etc…)

	b)	List all supporting documents submitted, if applicable.	Whenever possible, support the justification with documentary evidence, such as reports, photographs, slides, maps, etc...
8.		**FOR EMERGENCY ASSISTANCE ONLY**	
	a)	Describe the actual or potential threat/danger affecting the property	Emergency Assistance funds will not be automatically granted after a major disaster has occurred. This type of assistance will be provided only in cases when an <u>imminent</u> danger related to a <u>natural or human-made</u> disaster is threatening the overall Outstanding Universal Value of a World Heritage property and its authenticity and/or integrity, to prevent or at least significantly mitigate its possible negative impact on the property. Emergency Assistance may also be provided to assess whether or not imminent danger is present, for example as a result of a major disaster. When, on the contrary, due to a disaster, a certain loss of heritage has already taken place, but there is no more imminent threat or risk that needs to be addressed as a matter of urgency, other forms of assistance would appear to be more appropriate (e.g. conservation and management assistance).
	b)	Indicate how it might affect the property's Outstanding Universal Value	In establishing priorities for granting Emergency Assistance, consideration will be given to whether the threat/danger to be addressed has the potential, if not mitigated, to affect the Outstanding Universal Value of the World Heritage property and its authenticity and/or integrity.
	c)	Explain how the proposed project will address the threat/danger	Proposals for funding under the Emergency Assistance programme should set out how the scope of the project and its activities will assess the threat/danger to the World Heritage property and show how it will be effectively mitigated.
9.		**OBJECTIVE(S) OF THE PROJECT** Clearly set out the specific objectives of the project	What are the objectives you want to achieve through the implementation of this particular project?
10.		**EXPECTED RESULTS**	
	a)	Clearly state the results expected from the project proposed.	The expected results should be concrete and measurable. Each expected result will be

measured by a set of indicators (see Paragraph 10b).

	b)	Define the indicators and means of verification which can be used to assess the achievements of these results:

Expected Results	Indicators	Means of verification

Indicators are used to measure the results achieved and to determine the progress towards the objective of the project. They are based on the expected results defined in Paragraph 10, and will serve as the base for the evaluation of the project after its completion.

These indicators should be objective, measurable and expressed in quantifiable terms such as numeric values, or percentages.

For example:

Preparatory Assistance

Objective:
To prepare a complete nomination file for submission to the World Heritage Centre.

Expected Results	Indicators	Means of Verification
The completed nomination file submitted to the World Heritage Centre by 1 February 200x.	• Nomination submitted by the deadline	• Postal record of sending the file • Report from the WHC/POL to the State Party
The completed management plan to be submitted along with the nomination file	• Management plan submitted by the deadline	• Postal record of sending the file • Report from the WHC/POL to the State Party
The nomination file is judged complete by the World Heritage Centre and Advisory Bodies	• Successful examination by the World Heritage Centre and Advisory Bodies for completeness	• Letter from the World Heritage Centre to the State Party informing them the file is considered complete

Emergency Assistance

Objective:
To stabilize the structure of a building that has just been damaged due to flooding or an earthquake.

Expected Results	Indicators	Means of Verification
The structure of the building would have been stabilized	• Emergency structural problems identified • Plans for emergency works finalized • Temporary stabilization measures carried out	• Report of a structural engineer on the emergency state of the structure • Costed proposal for emergency works to be carried out • Final report of the stabilization work implemented
Plans for further conservation work would have been developed for future implementatio n	• Overall structural analysis carried out • Costed plans for further necessary conservation work prepared	• Report of a structural engineer on the overall state of conservation of the structure • Costed proposal for necessary conservation works to be carried out

Conservation and Management Assistance

Objective:
To improve management at a property inscribed on the World Heritage List with special attention to community involvement

Expected Results	Indicators	Means of Verification
An integrated management plan for the property	• Setting up of a management planning team for the development of the management plan with participants from the necessary sectors including the local community • Completion of a Statement of Outstanding Universal Value for the property • Analysis of the conservation and management problems affecting the property • Existence of clear objectives and strategies for achieving them	• Monthly reports of the management planning team meetings • Discussion papers produced by team members of each of the key issues faced in the management of the property • Final management plan document

	A management committee including some members of the local community	● Appointment of members of the management committee including at least two members of the local community ● At least 3 regular monthly meetings of the Management Committee	● Statutes and rules of procedure for the Management Committee approved by appropriate authorities ● Monthly reports of the Management Committee
	Management plan approved with appropriate legal status	● Approval by the local government authority	● Decree placed in the "National Gazette" establishing the management plan as a local regulation.

11.	**WORK PLAN** **(including specific activities and timetable)** 	Activities	Timeframe (in months)
Activity	
Activity	
Activity	
Activity			Describe the work plan of the activity(ies) to be undertaken with specific reference to the expected results mentioned in Paragraph 10 above. Indicate dates, duration of each activity. For meetings and training activities, tentative programmes should be provided including the themes, issues and problems to be discussed. For example: *For Expected Result No. 1:* | Activities	Timeframe (in months)
Activity	
Activity	
Activity	
Activity		 *For Expected Result No. 2:* 	Activities	Timeframe (in months)
Activity	
Activity	
Activity	
Activity		

| **12.** | **EVALUATION AND REPORTING**
(to be submitted to the World Heritage Centre within three months after the implementation of the project is completed) | Final Report:
The final report should be prepared by the authority/person in charge of the implementation of the project.
The final report should be structured according to the expected results defined in Paragraph 10.

Evaluation:
The evaluation should focus on the results achieved and their impact on (for example): |

		- the inscription of a property on the World Heritage List following a preparatory assistance, - the Periodic Report and the State of conservation, - the removal of a property from the List of World Heritage in danger following an emergency assistance, - the implementation of the *World Heritage Convention*, including its Strategic Objectives ("4Cs") and other strategies (e.g. Global Strategy, …), - the national and/or local institutions, - the capacity building of local staff, - the awareness raising of the general public, - the participants to the project, - attracting other resources, - etc… Indicate who will be responsible for the evaluation of the project.
13.	**PROFILES OF SPECIALISTS, TRAINERS, TECHNICIANS AND/OR SKILLED LABOUR, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE (if the identity of the specialists, trainers, technicians, and/or skilled labourers is already known, please state their names and include a brief CV if possible)**	Indicate the precise field of specialization and the work to be undertaken by each specialist as well as the duration required. The World Heritage Centre and the Advisory Bodies are available to recommend resource persons / trainers, should the State(s) Party(ies) concerned so request. Please include the names of any specialists, if already known, who will be taking part in the project and send a short CV if possible as an annex to the request form.
14.	**KEY TARGET AUDIENCES, INCLUDING PROFILES OF TRAINEES / PARTICIPANTS, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE**	Indicate the target groups and beneficiaries of the project, their professions, institutions, or field(s) of specialization.
15.	**BUDGET BREAKDOWN**	
	a) Provide, in the following table (in United States dollars), a detailed breakdown of costs of the individual elements of the project including, if possible, unit costs and show how these will be shared between the different funding sources:	Indicate in the table the breakdown of all expenses related to the project, also indicating the cost-sharing between the various donors (State Party, World Heritage Fund, others).
	(i) Organization	Items within this section could include the cost of a venue, office expenses, secretarial assistance, translation, simultaneous interpretation, audio-visual equipment, or other organizational costs necessary for the

			successful implementation of the project.
		(ii) Personnel and Consultancy Services	Items within this section could include the cost of international experts, national experts, a local or international coordinator, or other personnel necessary for the successful implementation of the project.
		(iii) Travel	Items within this section could include the cost of international or domestic travel necessary for the successful implementation of the project.
		(iv) Daily Subsistence Allowance	Items within this section could include the cost of accommodation, meals, and incidentals necessary for the successful implementation of the project.
		(v) Equipment	Items within this section could include any equipment necessary for the successful implementation of the project.
		(vi) Evaluation, Reporting and Publication	Items within this section could include the cost of evaluation, reporting, editing and layout, printing, distribution, and other costs necessary for the successful implementation of the project.
		(vii) Miscellaneous	Items within this section could include the cost of visas or other small costs that are necessary for the successful implementation of the project.
	b)	Specify whether or not resources from the State Party or other sources are already available or when they are likely to become available	If the resources are not already available, indicate whether they will be before the beginning of the project.
16.	**IN KIND CONTRIBUTIONS FROM THE STATE PARTY AND OTHER AGENCIES**		
	a)	National agency(ies)	Specify in detail
	b)	Other bi/multi-lateral organizations, donors, etc	Specify in detail
17.	**AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE PROJECT**		Please provide the name, title, address and all contact details of the person/agency(ies) who will be responsible for the implementation of the project as well as those of any other participating agencies. Please, indicate whether the legislative and administrative commitment of the State Party

		is available for the project (see Paragraph 239d of the *Operational Guidelines*).
18.	**SIGNATURE ON BEHALF OF STATE PARTY**	Full name Title Date
19.	**ANNEXES**	In this section, list the number of annexes attached to the request and titles of each annex.

Annex III

	EVALUATION CRITERIA OF THE ADVISORY BODIES FOR INTERNATIONAL ASSISTANCE REQUESTS	

The following considerations are to be taken into account by the Advisory Bodies, World Heritage Centre, and the relevant Decision-maker (the Chairperson of the World Heritage Committee, the World Heritage Committee or the Director of the World Heritage Centre) when assessing International Assistance requests.

These items do not constitute a checklist, and not every item will be applicable to every International Assistance Request. Rather the appropriate items are to be considered together in an integrated manner in making balanced judgments concerning the appropriateness of allocating the limited financial support available through the World Heritage Fund.

A. Eligibility requirements

1. Is the State Party in arrears for payment of its contribution to the World Heritage Fund?

2. Is the request coming from an authorized organization/institution of the State Party?

B. Priority considerations

3. Is the request from a State Party on the list of the Least Developed Countries (LDCs), Low Income Economies (LIEs), Small Island Developing States (SIDS) or post-conflict countries?

4. Is the property on the List of World Heritage in Danger?

5. Does the request further one or more of the Strategic Objectives of the World Heritage Committee (Credibility, Conservation, Capacity building, and Communication)?

6. Does the request respond to needs identified through the Periodic Reporting process at the property and/or regional levels?

7. Is the request linked to a regional or sub-regional capacity building programme?

8. Is there a capacity building aspect to the activity (no matter what type of assistance sought)?

9. Will the lessons learned from the activity provide benefits to the larger World Heritage system?

C. Considerations linked to the specific content of the proposed activity

10. Are the objectives of the request clearly stated and achievable?

11. Is there a clear work plan for achieving the results, including a timeline for its implementation? Is the work plan reasonable?

12. Does the agency/organization responsible for implementing the proposal have the capacity to do so, and is there a responsible person identified for ongoing contacts?

13. Are the professionals proposed to be used (whether national or international) qualified to carry out the work being requested? Are there clear terms of reference for them, including adequate period of their involvement?

14. Is the involvement of all relevant parties taken into account in the proposal (for example stakeholders, other institutions, etc.)?

15. Are the technical requirements clearly expressed and are they reasonable?

16. Is there a clear plan for reporting the results and for continued monitoring, including appropriate indicators for success?

17. Is there a commitment of the State Party for appropriate follow-up after the activity is completed?

D. Budgetary / Financial considerations

18. Is the overall budget reasonable for the work that is proposed to be carried out?

19. Is the budget detailed sufficiently to ensure that the unit costs are reasonable and in line with local costs and/or UNESCO norms and rules as appropriate?

20. Does the request act as a catalyst (multiplier) for other funding (are other sources of funding, either cash or in-kind clearly specified)?

E. Considerations for specific types of International Assistance

a)   Emergency Assistance Requests

21. Does the threat or disaster covered by the request conform to the definition of an emergency within the *Operational Guidelines* (unexpected phenomena)?

22. Can the proposed intervention be carried out with reasonable safety for those involved with its implementation?

23. Does the intervention respond to the most critical issues related to the protection/conservation of the property?

b)   Preparatory Assistance Requests

For requests for preparation of nomination files

24. Is the property on the State Party's Tentative List?

25. Does the State Party already have properties inscribed on the World Heritage List? If yes, how many?

26. Is the type of property proposed for World Heritage listing un-represented or under-represented in the World Heritage List?

27. Is sufficient attention paid to necessary elements, such as the preparation of the management plan, comparative analysis, Statement of Outstanding Universal Value, mapping, etc.?

28. Is sufficient attention given to community involvement?

For requests for preparation of Tentative Lists

29. Is the process designed to include all the necessary stakeholders and points of view?

30. Are both natural and cultural heritage professionals proposed to be involved?

31. Is the State Party new to the *World Heritage Convention*?

32. If the request is for harmonization of Tentative Lists, are representatives from all the necessary States Parties in the region or sub-region involved?

<u>For requests for preparation of other types of assistance</u>

33. If the request is for the preparation of a request for other assistance, is the need for the eventual request well documented?

c) ***Conservation and Management Assistance Requests***

<u>For requests for conservation work or the preparation of a management plan</u>

34. Is the property on the World Heritage List?

35. Is the work being proposed a priority for protecting or safeguarding the property?

36. Does the work being proposed conform to best practice?

<u>For requests for training activities</u>

37. Is it clearly related to the implementation of the *World Heritage Convention*?

38. Does it take place on a World Heritage property or involve a visit/case study of a World Heritage property?

39. Does it involve those responsible for conservation at a World Heritage property as trainees or resource persons?

40. Does it respond to well-defined training needs?

41. Are the training methods appropriate to ensure that the learning objectives will be met?

42. Does it strengthen a local and/or regional training institution?

43. Is it linked with practical applications in the field?

44. Is there a provision for disseminating results and related training materials to other organizations in the World Heritage system?

<u>For requests related to scientific research</u>

45. Can it be demonstrated that the subject matter is of a priority nature for better protection and safeguarding of World Heritage properties?

46. Can it be demonstrated that the results will be concrete and applicable widely within the World Heritage system?

<u>For requests for educational or awareness activities</u>

47. Will it help make the *World Heritage Convention* better known or create a stronger interest in it amongst the target audience?

48. Will it create a greater awareness of the different issues related to the implementation of the *World Heritage Convention*?

49.   Will it promote more involvement in *World Heritage Convention* related activities?

50.   Will it be a means of exchanging experiences or stimulate joint educational and information programmes, especially amongst school children?

51.   Will it produce appropriate awareness materials for the promotion of the *World Heritage Convention* for use by the target audience?

Annex IV

Consolidated list of the UNECOSOC "Least Developed Countries" (LDCs) and "Low Income Economies" (LIEs), the World Bank "Lower Middle Income Countries" (LMICs), "Upper Middle Income Countries" (UMICs) and "High Income Countries" (HICs).

	COUNTRY	REGION	REMARKS
List of Least Developed Countries (UNECOSOC)			
1	AFGHANISTAN	APA	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
2	ANGOLA	AFR	Lower middle income (World Bank)
3	BANGLADESH	APA	Also Low-income Economy (LIE) Low income (World Bank)
4	BENIN	AFR	Also Low-income Economy (LIE) Low income (World Bank)
5	BHUTAN	APA	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
6	BURKINA FASO	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
7	BURUNDI	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE)
8	CAMBODIA	APA	Also Low-income Economy (LIE) Low income (World Bank)
9	CAPE VERDE	AFR	Also Small Island Developing State (SIDS) Lower middle income (World Bank)
10	CENTRAL AFRICAN REP.	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
11	CHAD	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
12	COMOROS	AFR	Also Small Island Developing State (SIDS) and Low-income Economy (LIE) Low income (World Bank)
13	DEM. REP. OF CONGO (DRC)	AFR	Also Low-income Economy (LIE) Low income (World Bank)
14	DJIBOUTI	AFR	**Has not ratified the *World Heritage Convention*** Lower middle income (World Bank)
15	EQUATORIAL GUINEA	AFR	**Has not ratified the *World Heritage Convention*** Lower middle income (World Bank)
16	ERITREA	AFR	Also Low-income Economy (LIE) Low income (World Bank)
17	ETHIOPIA	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
18	GAMBIA	AFR	Also Low-income Economy (LIE) Low income (World Bank)
19	GUINEA	AFR	Also Low-income Economy (LIE) Low income (World Bank)

20	GUINEA-BISSAU	AFR	Also Small Island Developing State (SIDS) and Low-income Economy (LIE) Low income (World Bank)
21	HAITI	LAC	Also Small Island Developing State (SIDS) and Low-income Economy (LIE) Low income (World Bank)
22	KIRIBATI	APA	Also Small Island Developing State (SIDS) Lower middle income (World Bank)
23	LAO P.D.R.	APA	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
24	LESOTHO	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Lower middle income (World Bank)
25	LIBERIA	AFR	Also Low-income Economy (LIE) Low income (World Bank)
26	MADAGASCAR	AFR	Also Low-income Economy (LIE) Low income (World Bank)
27	MALAWI	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
28	MALDIVES	APA	Also Small Island Developing State (SIDS) Lower middle income (World Bank)
29	MALI	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
30	MAURITANIA	ARB	Also Low-income Economy (LIE) Low income (World Bank)
31	MOZAMBIQUE	AFR	Also Low-income Economy (LIE) Low income (World Bank)
32	MYANMAR	APA	Also Low-income Economy (LIE) Low income (World Bank)
33	NEPAL	APA	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
34	NIGER	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
35	RWANDA	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
36	SAMOA	APA	Also Small Island Developing State (SIDS) Lower middle income (World Bank)
37	SAO TOME & PRINCIPE	AFR	Also Small Island Developing State (SIDS) and Low-income Economy (LIE) Low income (World Bank)
38	SENEGAL	AFR	Also Low-income Economy (LIE) Low income (World Bank)
39	SIERRA LEONE	AFR	Also Low-income Economy (LIE) Low income (World Bank)
40	SOLOMON ISLANDS	APA	Also Small Island Developing State (SIDS) and Low-income Economy (LIE)
41	SOMALIA	AFR	**Has not ratified the *World Heritage Convention*** Also Low-income Economy (LIE) Low income (World Bank)
42	SUDAN	ARB	Also Low-income Economy (LIE) Low income (World Bank)

43	TIMOR LESTE		**Has not ratified the *World Heritage Convention*** Also Small Island Developing State (SIDS) and Low-income Economy (LIE) Low income (World Bank)
44	TOGO	AFR	Also Low-income Economy (LIE) Low income (World Bank)
45	TUVALU	APA	**Has not ratified the *World Heritage Convention*** Also Small Island Developing State (SIDS)
46	UGANDA	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)
47	UNITED REPUBLIC OF TANZANIA	AFR	Also Low-income Economy (LIE) Low income (World Bank)
48	VANUATU	APA	Also Small Island Developing State (SIDS) Lower middle income (World Bank)
49	YEMEN	ARB	Also Low-income Economy (LIE) Low income (World Bank)
50	ZAMBIA	AFR	Also Landlocked Developing Country (LLDC) and Low-income Economy (LIE) Low income (World Bank)

List of Low-Income Economies (UNECOSOC)

1	CAMEROON	AFR	Lower middle income (World Bank)
2	CONGO	AFR	Lower middle income (World Bank)
3	COTE D'IVOIRE	AFR	Low income (World Bank)
4	DEM PEOPLE'S REP KOREA (DPRC)	APA	Low income (World Bank)
5	GHANA	AFR	Low income (World Bank)
6	INDIA	APA	Low income (World Bank)
7	KENYA	AFR	Low income (World Bank)
8	KYRGYZSTAN	APA	Low income (World Bank)
9	MOLDOVA (REPUBLIC OF)	EUR	Lower middle income (World Bank)
10	MONGOLIA	APA	Low income (World Bank)
11	NICARAGUA	LAC	Lower middle income (World Bank)
12	NIGERIA	AFR	Low income (World Bank)
13	PAKISTAN	APA	Low income (World Bank)
14	PAPUA NEW GUINEA	APA	Low income (World Bank)
15	TAJIKISTAN	APA	Low income (World Bank)
16	UZBEKISTAN	APA	Low income (World Bank)
17	VIET NAM	APA	Low income (World Bank)
18	ZIMBABWE	AFR	Low income (World Bank)

List of Lower Middle Income Countries (World Bank)*

1	ALBANIA	EUR	
2	ALGERIA	ARB	
3	ARMENIA	EUR	
4	AZERBAIJAN	EUR	
5	BELARUS	EUR	
6	BOLIVIA	LAC	
7	BOSNIA AND HERZEGOVINA	EUR	
8	BRAZIL	LAC	
9	BULGARIA	EUR	European Union country
10	CHINA	APA	
11	COLOMBIA	LAC	
12	CUBA	LAC	
13	DOMINICAN REPUBLIC	LAC	

14	ECUADOR	LAC	
15	EGYPT	ARB	
16	EL SALVADOR	LAC	
17	FIJI	APA	
18	GEORGIA	EUR	
19	GUATEMALA	LAC	
20	GUYANA	LAC	
21	HONDURAS	LAC	
22	INDONESIA	APA	
23	IRAN (ISLAMIC REPUBLIC OF)	APA	
24	IRAQ	ARB	
25	JAMAICA	LAC	
26	JORDAN	ARB	
27	KAZAKHSTAN	APA	
28	MACEDONIA (THE FORMER YUGOSLAV REPUBLIC OF)	EUR	
29	MARSHALL ISLANDS	APA	
30	MICRONESIA (FEDERATED STATES OF)	APA	
31	MOROCCO	ARB	
32	NAMIBIA	AFR	
33	OCCUPIED PALESTINIAN TERRITORIES	ARB	**Has not ratified the *World Heritage Convention***
34	PARAGUAY	LAC	
35	PERU	LAC	
36	PHILIPPINES	APA	
37	SERBIA & MONTENEGRO	EUR	
38	SRI LANKA	APA	
39	SURINAME	LAC	
40	SWAZILAND	AFR	
41	SYRIAN ARAB REPUBLIC	ARB	
42	THAILAND	APA	
43	TONGA	APA	
44	TUNISIA	ARB	
45	TURKMENISTAN	APA	
46	UKRAINE	EUR	
List of Upper Middle Income Countries (World Bank)**			
1	ARGENTINA	LAC	
2	BARBADOS	LAC	
3	BELIZE	LAC	
4	BOTSWANA	AFR	
5	CHILE	LAC	
6	COSTA RICA	LAC	
7	CROATIA	EUR	
8	CZECH REPUBLIC	EUR	European Union country
9	DOMINICA	LAC	
10	ESTONIA	EUR	European Union country
11	GABON	AFR	
12	GRENADA	LAC	
13	HUNGARY	EUR	European Union country
14	LATVIA	EUR	European Union country
15	LEBANON	ARB	
16	LIBYAN ARAB JAMAHIRIYA	ARB	

17	LITHUANIA	EUR	European Union country
18	MALAYSIA	APA	
19	MAURITIUS	AFR	
20	MEXICO	LAC	
21	OMAN	ARB	
22	PALAU	APA	
23	PANAMA	LAC	
24	POLAND	EUR	European Union country
25	ROMANIA	EUR	European Union country
26	RUSSIAN FEDERATION	EUR	
27	SEYCHELLES	AFR	
28	SLOVAKIA	EUR	European Union country
29	SOUTH AFRICA	AFR	
30	ST. KITTS & NEVIS	LAC	
31	ST. LUCIA	LAC	
32	ST. VINCENT & THE GRENADINES	LAC	
33	TRINIDAD AND TOBAGO	LAC	
34	TURKEY	EUR	
35	URUGUAY	LAC	
36	VENEZUELA	LAC	

List of High Income Countries (World Bank)***			
1	ANDORRA	EUR	
2	ANTIGUA AND BARBUDA	LAC	
3	AUSTRALIA	APA	
4	AUSTRIA	EUR	
5	BAHAMAS	LAC	**Has not ratified the *World Heritage Convention***
6	BAHRAIN	ARB	
7	BELGIUM	EUR	
8	BRUNEI DARUSSALAM	APA	**Has not ratified the *World Heritage Convention***
9	CANADA	EUR	
10	CYPRUS	EUR	
11	DENMARK	EUR	
12	FINLAND	EUR	
13	FRANCE	EUR	
14	GERMANY	EUR	
15	GREECE	EUR	
16	ICELAND	EUR	
17	IRELAND	EUR	
18	ISRAEL	EUR	
19	ITALY	EUR	
20	JAPAN	APA	
21	KOREA REP.	APA	
22	KUWAIT	ARB	
23	LIECHTENSTEIN	EUR	**Has not ratified the *World Heritage Convention***
24	LUXEMBOURG	EUR	
25	MALTA	EUR	
26	MONACO	EUR	
27	NETHERLANDS	EUR	
28	NEW ZEALAND	APA	
29	NORWAY	EUR	
30	PORTUGAL	EUR	

31	QATAR	ARB	
32	SAN MARINO	EUR	
33	SAUDI ARABIA	ARB	
34	SINGAPORE	APA	**Has not ratified the *World Heritage Convention***
35	SLOVENIA	EUR	
36	SPAIN	EUR	
37	SWEDEN	EUR	
38	SWITZERLAND	EUR	
39	UNITED ARAB EMIRATES	ARB	
40	UNITED KINGDOM	EUR	
41	UNITED STATES OF AMERICA	EUR	

* GNI (Gross National Income) per capita from $876 to $3,465

** GNI (Gross National Income) per capita from $3,466 to $10,725

*** GNI (Gross National Income) per capita $10,726 or more

Notes:

1. Montenegro has deposited on 26 April 2007 the notification of succession to UNESCO Conventions and Protocols and has thus, become State party to the Convention Concerning the Protection of the World Cultural and Natural Heritage with effect from 3 June 2006.
2. The Holy See is not included by either UNECOSOC or World Bank in any of the above categories.
3. Niue is not included by either UNECOSOC or World Bank in any of the above categories, but it is a Small Island Developing State.

Sources:

1. The United Nations Department of Economic and Social Affairs, Committee for Development Policy (for the list of LDCs and LIEs).

2. World Bank list of economies (July 2006)